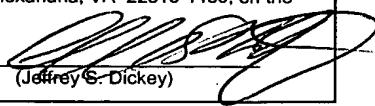


I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 6, 2006

Signature: 

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-020  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent Application of:**  
**Ludtke et al.**

Application No.: 10/014,112

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: S. A.  
Cangialosi

For: SYSTEM AND METHOD FOR CONDUCTING  
SECURE TRANSACTION OVER A  
NETWORK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Other than newly cited references 5,457,747, JP-9722857, JP-99164179, JP-9978521, and Kendrick, Joseph, Creating A Password for Life from the Tip of a Finger, 11/3/99, ent, v4, n19, p.22, Applicant notes the references were previously cited in Information Disclosure Statements in connection with Certifications Pursuant To 37 C.F.R. § 1.97(E) (2). Rather than relying upon such Certification which is hereby withdrawn, the references are being resubmitted with an RCE filed concurrently herewith.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: February 6, 2006

Respectfully submitted,

By   
Jeffrey S. Dickey  
Registration No.: 35,858  
LERNER, DAVID, LITTBENBERG,  
KRUMHOLZ & MENTLIK, LLP  
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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO				<b>Complete If Known</b>	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	S. A. Cangialosi
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA	US-5,131,038	07-14-1992	Puhl et al.		
AB	US-5,276,736	01-04-1994	Chaum		
AC	US-5,521,890	05-28-1996	Miche et al.		
AD	US-5,604,805	02-18-1997	Brands		
AE	US-5,696,827	12-09-1997	Brands		
AF	US-5,712,913	01-27-1998	Chaum		
AG	US-5,778,384	07-07-1998	Provino et al.		
AH	US-6,026,491	02-15-2000	Hiles		
AI	US-6,108,644	08-22-2000	Goldschlag et al.		
AJ	US-6,263,446	07-17-2001	Kausik et al.		

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
					T <sup>6</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.  
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Examiner Signature	Date Considered
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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Lutke
				Art Unit	3621
				Examiner Name	S. A. Cangialosi
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-2001/00449061-A1	11-22-2001	KANEVSKY et al.	
	AD	US-5,280,527	01/1994	Gullman et al.	
	AU	US-6,167,517	12-26-2000	Gilchrist et al.	
	AV	US-6,269,348-B1	07-31-2001	Pare, Jr. et al.	
	AW	US-6,270,011-B1	08-07-2001	Gottfried	
	AZ	US-6,615,264-B1	09-02-2003	Stoltz et al.	
	AA1	US-6,662,166-B2	12-09-2003	Pare, Jr. et al.	

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					T <sup>6</sup>

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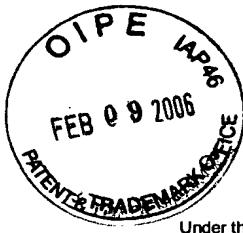
<b>NON PATENT LITERATURE DOCUMENTS</b>					
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/014,112
Sheet	1	of	1	Filing Date	December 11, 2001
				First Named Inventor	Harold A. Lutke
				Art Unit	3621
				Examiner Name	S. A. Cangialosi
				Attorney Docket Number	SONY 3.0-020

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AF*	US-5,594,230	01-14-1997	Waite et al.		
AE*	US-5,598,474	01-28-1997	Johnson		
AD*	US-6,014,636	01-11-2000	Reeder		
AC*	US-6,289,323	09-11-2001	Gordon et al.		
AB*	US-6,314,196	11-06-2001	Yamaguchi et al.		
AA*	US-6,353,811	03-05-2002	Weissman		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					T <sup>6</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.  
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Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number SONY 3.0-020

## Complete if Known

Application Number	10/014,112-Conf. #3954
Filing Date	December 11, 2001
First Named Inventor	Harold A. Lutke
Art Unit	3621
Examiner Name	S. A. Cangialosi

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
AA*	US-5,457,747		10-10-1995	Drexler et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
BA	JP-9722857		02/1997	OSAMU
BB	JP-99164179		06/1999	HIOSHINO
BC	JP-9978521		03/1999	TAKEDA

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CA		MCKENDRICK, JOSEPH, Creating a Password for Life from the Tip of a Finger, 11/3/99, ent, v4, n19, p.22.		

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.  
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